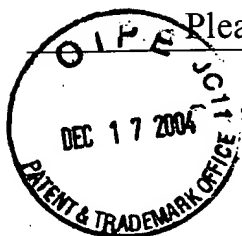


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Please Direct All Correspondence to Customer Number **20995**

**TRANSMITTAL LETTER  
INFORMATION DISCLOSURE STATEMENT**

Applicant : Kawai et al.  
App. No : 09/761,532  
Filed : January 16, 2001  
For : OPTICAL SIGNAL TRANSMISSION  
DEVICE  
Examiner : D. S. Kim  
Art Unit : 2633

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

December 14, 2004

(Date)  
  
Eric M. Nelson, Reg. No. 43,829

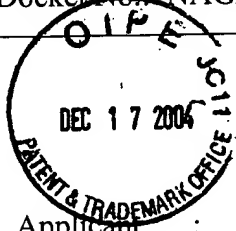
**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
  - (X) Listing seven references.
  - (X) Enclosing seven references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Eric M. Nelson  
Registration No. 43,829  
Attorney of Record  
Customer No. 20,995  
(619) 235-8550



## INFORMATION DISCLOSURE STATEMENT

Applicant : Kawai et al.  
App. No : 09/761,532  
Filed : January 16, 2001  
For : OPTICAL SIGNAL TRANSMISSION  
DEVICE  
Examiner : D. S. Kim  
Art Unit : 2633

## CERTIFICATE OF MAILING

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December 14, 2004

(Date)

A handwritten signature in black ink, appearing to read "Eric M. Nelson".

Eric M. Nelson, Reg. No. 43,829

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is an Information Disclosure Statement by Applicant listing seven references to be considered by the Examiner. Also enclosed are seven copies of foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement. Applicant submits a partial translation of JP 64-5043, which provides a concise explanation of the Japanese reference with respect to the above-identified patent application.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing date of a Notice of Allowance. Thus, no fee is required as set forth below in 37 C.F.R. §§ 1.97(c)(1) and 1.97(e)(1). If either a final Office Action on the merits or a Notice of Allowance was mailed before the mailing date of this Statement, the Commissioner is hereby authorized to charge any surcharge to Deposit Account No. 11-1410.

Appl. No. : 09/761,532  
Filed : January 16, 2001

Docket No. NAGAT9.001AUS  
Customer No. 20,995



CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 12/14/2004

By: 

Eric M. Nelson  
Registration No. 43,829  
Attorney of Record  
Customer No. 20,995  
(619) 235-8550

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application No. 09/761,532  
 Filing Date January 16, 2001  
 First Named Inventor Kawai et al.  
 Art Unit 2633  
 Examiner D. S. Kim  
 Attorney Docket No. NAGAT9.001AUS

(Multiple sheets used when necessary)

SHEET 1 OF 1

## U.S. PATENT DOCUMENTS

| Examiner Initials | Cite No. | Document Number<br>Number - Kind Code (if known)<br>Example: 1,234,567 B1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-------------------|----------|---|--------------------------------|-------------------------------|--|
|                   |          |   |                                |                               |  |
|                   |          |   |                                |                               |  |
|                   |          |   |                                |                               |  |
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|                   |          |   |                                |                               |  |
|                   |          |   |                                |                               |  |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite No. | Foreign Patent Document<br>Country Code-Number-Kind Code<br>Example: JP 1234567 A1 | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>1</sup> |
|-------------------|----------|--|--------------------------------|-------------------------------|--|----------------|
|                   | 1        | JP 64-5043   | 1/12/1989                      |                               |  |                |
|                   | 2        | JP 09-175493   | 1/7/1997                       | Kawai et al.                  |  |                |
|                   | 3        | JP 11-198690   | 7/27/1999                      | Kawai et al.                  |  |                |
|                   | 4        | JP 11-059283   | 3/2/1999                       | Oya et al.                    |  |                |
|                   | 5        | JP 10-013346   | 1/16/1998                      | Oshinomi et al.               |  |                |
|                   | 6        | JP 05344069  | 12/24/1993                     | Tomoaki et al.                |  |                |
|                   | 7        | DE 19741595  | 4/1/1999                       |                               |  |                |
|                   |          |  |                                |                               |  |                |
|                   |          |  |                                |                               |  |                |

## NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>1</sup> |
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Examiner Signature

Date Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.